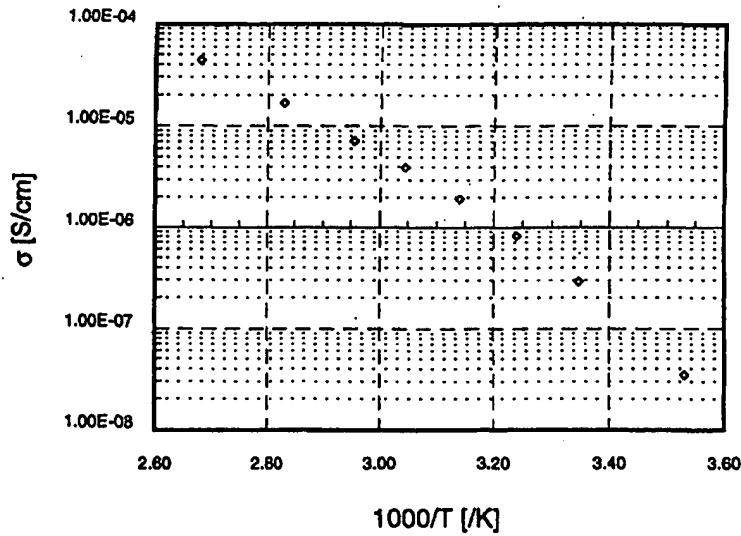




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(54) Title: BLOCK COPOLYMER ELECTROLYTE

Ionic conductivity of SDBCE ([EO]:Li⁺~9:1)

(S7) Abstract

A polymer electrolyte (30) includes a microphase separated block copolymer including at least one ionically conductive block (32) and at least one second block (34) that is immiscible in the ionically conductive block, an anion (36) immobilized on the polymer electrolyte and a cationic species. The ionically conductive block provides a continuous ionically conductive pathway through the electrolyte. The electrolyte may be used as an electrolyte in an electrochemical cell (20) or as a conductive binder in a cathode (22) or anode (24).

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BLOCK COPOLYMER ELECTROLYTE

Field of the Invention

5 This invention relates to phase separated block copolymers useful as a polymer electrolyte in a battery.

Background of the Invention

10 Rechargeable batteries enjoy an enormous and constantly growing global market due to the increased use of portable consumer electronic products. The lithium solid polymer electrolyte battery is an attractive rechargeable battery technology due to its high predicted energy density and low associated materials and processing costs. A successful lithium battery requires the use of an electrolyte that is highly conductive in order to sustain a high density.

15 Contemporary rechargeable lithium ion batteries utilize a liquid electrolyte and are assembled with a physical separator layer between the anode and the cathode to prevent electrical shorting. The use of a physical separator results in increased costs, due to associated materials and processing. In addition, contemporary liquid electrolytes are volatile at elevated temperatures, 20 exhibit electrochemical breakdown at voltages (~4.5V) that fail to fully exhibit cathode capacity, and can react chemically with electrode components. This limits both the (total available charge) and the maximum current density and reduces the useful cycle life (number of charge/discharge cycles to failure).

In order to overcome the limitations inherent in liquid electrolytes, 25 solid polymer electrolytes have been developed in which ion mobility is possible through coordination of the lithium ion with suitable sites on the polymer chain. An inherent inverse relationship between ionic conductivity and dimensional stability exists in most known polymer electrolytes. Prior art

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electrolytes typically demonstrate either good ionic conductivity or good dimensional stability, but not both. Dimensional stability can be achieved by crosslinking, glassification, and the like, but these arrangements generally impede ionic conductivity since conductivity requires a significant degree of 5 polymer chain mobility.

For example, high molecular weight polyethers such as polyethylene oxide (PEO) have been used as lithium salt electrolytes. However, PEO is crystalline at room temperature which has an adverse effect on the conductivity of the polymer. Above the melting point of PEO ($T_m = 60^\circ\text{C}$) ionic 10 conductivity increases significantly, but at these temperatures PEO behaves as a viscous liquid and loses much of its dimensional stability (resistance to flow).

Prior efforts have been directed at decreasing the crystallinity of PEO in its solid state through addition of plasticizers or modification of the polymer architecture through random copolymerization and the like. However, these 15 strategies have generally yielded materials with poor mechanical properties, since these materials behave more like liquids than solids.

Crosslinking also has been used as a technique for increasing mechanical rigidity to polymeric electrolytes. A common approach is to prepare network-type structures via irradiation or chemical crosslinking. Not 20 surprisingly, the ionic conductivity of these systems is compromised because the crosslinks limit chain mobility. Furthermore, crosslinked networks of solid polymer electrolyte materials do not flow and are insoluble. Therefore preparation of the electrolyte and its incorporation into and arrangement in the battery may be difficult.

25 Block copolymers have been proposed for use as solid polymer electrolytes. See, WO 98/16960 by Massachusetts Institute of Technology. Block copolymers are composed of macromolecular moieties (or blocks) of two

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- distinct chemical species that are covalently linked. The chemical connectivity of the blocks results in unique thermodynamic and rheological behavior. At high temperatures or in a common solvent, block copolymers form homogenous phases in which the different blocks are segmentally mixed.
- 5 Upon lowering the temperature or concentrating the polymer by solvent evaporation, the repulsion between unlike segments increases causing the copolymer to phase separate locally into domains rich in one or the other of the block components. These domains form ordered nanostructures, the morphology of which is governed by the relative volume fraction of the two
- 10 blocks. The microphase separation process imparts dimensional stability to the material, even for materials in which both blocks individually are inherently amorphous and at temperatures exceeding the glass transition temperature of both blocks.
- Published International Application WO 98/16960 describes a solid
- 15 polymer electrolyte block copolymer that includes an ionically conductive polymer. A continuous lithium ion conducting pathway was obtained. However, the practical cell current is undesirably low. This is attributed to the low transference number for lithium ions in this system ($t_{Li} \approx 0.3 - 0.5$). Stated differently, anionic migration during use may result in a salt concentration
- 20 gradient in the electrolyte. Anions are attracted to the positive electrode (cathode) causing salt depletion from the electrolyte interior. Such a concentration gradient impedes the movement of the lithium ions between the electrodes, resulting in prolonged and undesirable polarization of the cell.
- Significant efforts have been directed toward viable solid polymer
- 25 electrolytes, yet improvements are greatly needed.
- It is an object of the present invention to provide an electrolyte for use in batteries that exhibits good ionic conductivity while retaining good

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dimensional stability.

It is a further object of the invention to provide an electrolyte that possesses a high transference value for the conductive lithium ion.

It is still a further object of the invention to provide a solid
5 electrolyte in which only the cations have high mobility.

It is still a further object of the invention to provide a solid polymer electrolyte in which the anions are immobilized on the polymer electrolyte.

It is also an object of the invention to provide an electrochemical cell having improved overall cell efficiency due to improvements in the electrolyte.

10 These and other objects of the invention are achieved in practice of the invention described in the description that follows.

Summary of the Invention

In one aspect, the present invention provides a solid polymer electrolyte including a microphase separated block copolymer comprising at
15 least one ionically conductive block and at least one second block that is immiscible in the ionically conductive block. The solid polymer electrolyte further includes an anion that is immobilized on the polymer electrolyte. The ionically conductive block provides a continuous ionically conductive pathway through the electrolyte. The continuous ionically conductive pathway of the
20 ionically conductive block is due to morphology of microphase separation or defects in microphase separated morphology.

By "immobilized" as the term is used herein, it is meant that the anion interacts strongly with the copolymer blocks such that there is effectively no migration of the anion at values of potential difference encountered in
25 service in the electrochemical cell into which the electrolyte is introduced. In one preferred embodiment of the invention, the anion is immobilized on the ionically conductive block of the block copolymer. In a second preferred

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embodiment of the invention, the anion is immobilized on the second block of copolymer.

By "microphase separated" as that term is used herein, it is meant that the block copolymer has been subjected to conditions that favor the 5 association of the copolymer chain to form regions or domains within the copolymer containing substantially only a single selected block. The blocks of a microphase separated block copolymer therefore are locally segregated into order domains.

In a preferred embodiment, the microphase separated copolymer 10 blocks are non-glassy and amorphous throughout the temperature range of use, and the mobile cationic species is substantially localized in the ionically conductive block. The cationic species includes lithium, sodium, potassium, magnesium and calcium. In a preferred embodiment of the invention, the anion exhibits delocalized charge density.

15 The ionically conductive block may include a polymer backbone having polyalkylene oxide or polyalkylene glycol side chains. The polyalkylene oxide or polyalkylene glycol side chains may be of a length less than about 20 oxide units. A preferred polyalkylene oxide is polyethylene oxide. The volume fraction of the ionically conductive block is in the range of 20 about 0.50 to about 0.85.

In one preferred embodiments, the second polymer block is made up 25 of a copolymer comprised of a first monomer selected for its ability to microphase separate from the ionically conductive block and a second monomer comprising an anion or neutral precursor thereof. In other preferred embodiments, the ionically conductive block is made up of a copolymer comprised of a first ionically conductive monomer and a second monomer comprising an anion or neutral precursor thereof. The neutral precursor is

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convertable into the desired anionic species.

In other preferred embodiments, the polymer electrolyte may further include a conductive liquid. Addition of a conductive liquid results in a ratio of alkylene oxide moiety to lithium ion in the range of 15:1 to 30:1.

5 In other preferred embodiments, the electrolyte has a transference number of substantially greater than 0.5, preferably greater than 0.8 and more preferably about 0.9 - 1.0

In another aspect, the invention provides a block copolymer including at least one ionically conductive block, at least one second block that 10 is immiscible with the ionically conductive block, an anion immobilized on the polymer electrolyte and a cationic species. The block copolymer may be used as a conductive binder in a cathode or anode.

In another aspect, the invention provides a battery including an electrolyte including a microphase separated block copolymer comprising at 15 least one ionically conductive block and at least one second block that is immiscible in the ionically conductive block. The solid polymer electrolyte further includes an anion that is immobilized on the polymer electrolyte. The ionically conductive block provides a continuous ionically conductive pathway through the electrolyte. The battery further includes a negative electrode in 20 electrical and ionic contact with the electrolyte and a positive electrode separated from the negative electrode in electrical and ionic contact with the electrolyte. An external circuit is in electronic communication with the negative and positive electrodes.

The term "ionic communication" is used to indicate a relationship 25 between components of a battery whereby ions are capable of movement or flow with little or no resistance, i.e., under the driving force normally encountered in the operation of a battery. Such a relationship may exist when

components are in direct physical contact with each other or when components communicate via intermediate structures which are capable of transporting the ion of interest.

- The term "electronic communication" is used to indicate a
- 5 relationship between components of a battery whereby electrons are capable of movement or flow with little or no resistance, i.e., under the driving force normally encountered in the operation of a battery.

Brief Description of the Drawing

10 The invention is described with reference to the following Figures, which are intended to be illustrative of the invention only and which are in no way intended to be limiting of the invention and in which:

Figure 1 is a schematic illustration of a typical lithium battery cell;

15 Figure 2 is a schematic illustration of a polymer electrolyte according to the present invention;

Figure 3 shows the temperature dependence of the electrical conductivity of a 1:1:1 self-doped block copolymer electrolyte (SDBCE) prepared according to Example 1; and

20 Figure 4 shows the electrical conductivity of a 1:1:1 self-doped block copolymer electrolyte including addition of polyethyleneglycol dimethylether (PEGDME) prepared according to the example;

25 Figure 5 is a plot of the temperature-dependence of the electrical conductivity for an unlithiated 3:1:2 block copolymer precursor and a lithiated 3:1:2 self-doped block copolymer electrolyte, including polyethyleneglycol dimethylether (PEGDME);

Figure 6 is a schematic illustration of a cell configuration used in battery charge/discharge testing;

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Figure 7 is a plot of voltage vs. time for a Li/3:1:2 SDBCE/Al coin cell, showing a charge-discharge cycle where $i_{charge} = i_{discharge} = 0.5 \mu A/cm^2$;

Figure 8 is a plot of current measured as a function of time, under an applied potential step of 55 mV, used for transference number measurement of
5 the 3:1:2 SDBCE;

Figure 9 is a plot of current measured as a function of time under an applied potential step of 70 m V for determination of the lithium ion transference number of a block copolymer electrolyte (BCE);

Figure 10 is a voltammogram of the SDBCE showing the limits of its
10 electrochemical stability; and

Figure 11 is a voltammogram of the BCE showing the limits of its electrochemical stability.

Detailed Description of the Invention

The present invention provides an improved solid polymer
15 electrolyte and a method of its manufacture and use. In particular, the invention provides a solid polymer electrolyte as a microphase separated block copolymer having an ionically conductive block and a second block that is immiscible in the ionically conductive block. The solid polymer electrolyte includes an anionic species that is immobilized on the block copolymer and a cationic species to provide charge neutrality and ion mobility. The polymer electrolytes of the present invention are characterized in that they do not require the addition of an electrolyte salt. Thus, the anion-immobilized block copolymer is "self-doped". The inventive solid polymer electrolyte may be incorporated into conventional alkali metal batteries.
20

25 A typical lithium battery operates in the following manner. With reference to Figure 1, a lithium battery 20 is charged by applying a voltage

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between the battery's cathode 22 and anode 24 which causes the lithium ions and electrons to be withdrawn from lithium hosts 26 at the battery cathode 22. Lithium ions flow from the cathode 22 to the battery anode 24 through a polymer electrolyte 28 to be reduced at the anode 24. The process requires 5 energy. During subsequent discharge, lithium ions and electrons re-enter lithium hosts at the cathode 22 while lithium is oxidized to lithium ions at the anode, an energetically favorable process that drives electrons through a load in an external circuit, providing electrical power.

A solid polymer electrolyte based on the microphase separation of 10 two chemically distinct polymer chains into ionically conducting and secondary domains is set forth in the published International Application WO 98/16960. The block copolymer of WO 98/16960 consists of two non-glassy, amorphous polymers, one of which is capable for solubilizing a lithium salt. While the material has been demonstrated to conduct lithium ions as an electrolyte and as 15 a binder in the cathode, the maximum sustainable current of cells into which the electrolyte has been incorporated is disappointingly low. This may be attributed in part to the low transference number of the lithium cation.

During battery operation, anions also move; however, their movement is not productive to the faradaic process of the electrochemical cell. 20 Due to their negative charge, the anions move to the positive electrode (the cathode) resulting in a salt concentration gradient which is an impediment to the faradaic process, as the lithium cations cannot traverse the electrolyte easily. This effect is reflected in the low lithium ion transference value (t_{Li}) of the electrolyte of around 0.3-0.5. Where the current is borne by a single ionic 25 species in solution, that ionic species is said to have a transference number of 1.0.

The transference number of the lithium cation in an electrolyte

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consisting of a single salt, such as LiX, is defined in eq (1) as:

$$t_{Li^+} = \frac{i_{Li^+}}{i} \quad (1)$$

where i_{Li^+} is the current borne by the lithium ion and i is the total current of the system. Current carried by a particular species, k , is a function of its

- 5 concentration in solution (C_k), mobility (μ_k) and valence (z_k). Thus the relationship between lithium and other

$$t_{Li^+} = \frac{C_{Li^+}\mu_{Li^+}z_{Li^+}}{(C_{Li^+}\mu_{Li^+}z_{Li^+}) + (C_{anion}\mu_{anion}z_{anion})} \quad (2)$$

- charged species in solution, e.g., the counteranion X, is as shown in eq (2). The
 10 mobility of the anion approaches zero under conditions where the anion is immobilized ($\mu \approx 0$). Under these conditions, the total current is effectively borne only by the lithium species and the transference value of lithium approaches 1.0. Based on the above discussion, it is apparent that the transference value of the electrolyte cation may be increased by either
 15 increasing the mobility of the cation or by decreasing the mobility of the anion, or both.

An increase in t_{Li^+} will result in an improved overall cell efficiency.

- First of all, higher t_{Li^+} translates into a lower thermal load as a greater fraction of the total cell current is borne by Li^+ ions which participate in the faradaic
 20 process at the two electrodes. An increase in the value of t_{Li^+} results in a decrease in the amount of unwanted joule heating per unit cell current.

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Secondly, higher t_{Li} translates into a lower kinetic barrier to Li^+ removal from the cathode when the cell is recharging. The barrier is the result of an accumulation of anions from the electrolyte salt dopant at the electrode/electrolyte interface.

5 In addition, the mobility of the cation may be improved by reducing the charge density of the anion. When the charge of anion is concentrated in a small area, i.e., on a single atom, the anion acts as a point charge which may be strongly attractive to the cation. On the other hand, if the charge of the anion is delocalized over a larger physical area, the attractive force of the anion is
10 diminished at any given location in the electrolyte. Thus, the mobility of the cation may be further enhanced by reducing the charge density of the anion. Charge density may be diffused over a larger physical region in a variety of ways. For example, an anion of large atomic radius may be used, such as iodide. Alternatively, the anion may be multiaatomic and the charge may be
15 delocalized over the entire species, such as a carboxylate CO_2^- or sulfonate group.

According to the invention, an electrolyte that exhibits improved mobility of current carrying cation is provided. The polymer electrolyte having a cationic species is prepared from a block copolymer of at least one ionically conductive block and at least one second block. Anions of the electrolyte are immobilized on the block copolymer. Upon microphase separation, nanoscale domains are formed alternately rich in the ionically conductive and second copolymer blocks. The composition is selected so that ionically conductive permit the free movement of the mobile cationic species throughout the
20 material. By attachment of the anion to the polymer electrolyte, a high molecular weight "macroanion" is formed. The mobility of the macroanion is
25 low and the transference value of the mobile cation species is enhanced. The

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anion may be immobilized in either the ionically conductive block or in the second block, or both. In preferred embodiments, the anion is immobilized in the second block which results in a microphase separation of the cations and anions of the electrolyte. Microphase separation decreases the attractive force
5 of the anion on the migrating lithium, since the two ions are separated into physically distinct domains of the polymer electrolyte. Furthermore, the anions are not free to move throughout the electrolyte and can neither carry current nor act as an impediment to motion of the cations in carrying current.

When the inventive polymer electrolyte is used as a component in a
10 lithium battery, the anions are prevented from accumulating at the interface and the potential barrier for cation removal from the intercalation compound particles during charging is reduced. This enables one to pass larger currents through the cell. At the same time, a high value of t_{Li} decreases the joule heating of the cell per unit of current which lessens the chances of uncontrolled
15 heating. Hence the invention provides for a safer, more efficient battery capable of operating at higher currents. The transference number is greater than 0.5, greater than 0.6, greater than 0.7, greater than 0.8, and preferably about 0.88.

Figure 2 is a schematic drawing of a block copolymer solid
20 electrolyte 30 of the invention which may be incorporated into a battery, such as the lithium battery described in Figure 1. It is understood that the electrolyte, while specifically described with reference to a lithium battery, may be used in any kind of battery and in any application for which an electrolyte is required. In particular, the electrolyte may be used in the preparation of electrodes as is
25 described in WO 98/16960, which is incorporated herein in its entirety by reference.

The polymer electrolyte 30 is made up of a block copolymer

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including at least one ionically conductive block 32 and at least one second block 34 and having an immobilized anion 36. The ionically conductive block and the second block are selected such that a phase separated block copolymer is formed. The block copolymer solid electrolyte of the invention may be a
5 diblock copolymer, triblock copolymer and the like.

The ionically conductive block 32 is comprised of one or more highly electronegative oxygen-containing species, such as alkyl ethers, in which small monovalent and divalent cations are known to be solubilized. Preferred ionically conductive blocks include polymer chains derivatized with
10 polyethylene oxide (PEO), polyethylene glycol (PEG), polypropylene oxide (PPO) and/or polypropylene glycol (PPG). The ionically conductive block may be, but is not limited to, methoxy PEG methacrylate (referred to herein as POEM), methoxy PEG acrylate and other acrylate and polymers modified to include short PEO, PEG, PPO or PPG side chains, polybutadiene modified to
15 include PEO, PEG, PPO, or PPG side chains or polystyrene similarly modified to include alkylether side chains. The number of alkylene oxide units of the side chain is selected to provide a high ionic conductivity. The side chains may include up to about 20 alkylene oxide units each. Ethylene oxide is a preferred alkylene oxide. In selecting the ionically conductive block polymer, it is
20 helpful to keep in mind the fact that longer side chains may exhibit higher degrees of crystallinity. The ionically-conductive block also can be defined by ionically-conductive polymeric material such that described Ward et al. In U.S. Patent No. 5,051,211, incorporated herein by reference. In preferred embodiments, the polymer block is selected so that the glass transition
25 temperature of the block is less than service temperature and selected such that crystallization does not occur.

The second block is so as to be immiscible with the ionically

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conductive block. The second block may include a non-ionically conductive block copolymer. Suitable non-ionically conductive polymers include, but are not limited to, polyalkyl acrylates and methacrylates, such as polydecyl methacrylate, polylauryl methacrylate, polyalkylacrylates and methacrylates, 5 polydimethyl siloxane, polybutadeine, polyisoprene such as polyethylene and polyethylenepropylene and copolymers thereof, and modified polystyrenes with flexible side chains of alkyl fluorocarbon and siloxane side chains attached through the phenyl groups and random or block copolymer derivatives thereof. In preferred embodiments, the polymer block is selected so that the glass 10 transition temperature of the block is less than service temperature and selected such that crystallization does not occur.

The anion is immobilized on the polymer chain of the block copolymer. Typically, the anion is immobilized by formation of the covalent bond with the block copolymer. However, other interactions which provide the 15 requisite immobilization are contemplated within the scope of the invention. Such interactions include, but are not limited to, hydrogen bonding or electrostatic interactions. The immobilized anion may be attached to either the ionically conductive block or the second block by copolymerization with a species including an anionic functionality. By way of example, when the anion 20 is to be localized in the second block, a random or graft copolymer of a non-ionically conductive polymer and an anion-containing species may be used. Similarly, if the anion is to be localized in the ionically conducting block, a random or graft copolymer of an ionically conductive polymer and an anion-containing species may be used.

25 The anions of the polymer electrolyte may be introduced into the copolymer as a neutral species which is later converted into a charged species. This may be desirable in the cases where the neutral species is less reactive

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- than its anionic derivative. For example, the neutral species may be a carboxylic acid that can be neutralized, for example by addition of lithium or sodium methoxide , to produce the corresponding lithium carboxylate salt, or an ester that can be hydrolyzed to produce the corresponding carboxylate salt.
- 5 Alternatively, the anion may be introduced into the copolymer as a charged species, such as a sulfonate or a phosphate. The cation in either embodiment may be the mobile cationic species of the electrolytic cell. Alternatively, the cation may be one which is amenable to block copolymer synthesis but which may be replaced by the mobile species in a separate step. For example, Na^+
- 10 may be replaced by Li^+ in an ion exchange reaction.

An additional advantage of the immobilized anionic block copolymer of the invention is its increased electrochemical stability. Conventional electrolyte salts are subject to electrochemical breakdown at voltages (4.5V) that fail to exploit the full capacity of the cathode. A self-doped block

15 copolymer electrolyte is not expected to be subject to electrochemical breakdown at the same voltages as a conventional electrolyte salt with its macroanion character. Thus, the self-doped block copolymer electrolyte allows for the possibility of a high voltage battery and hence a higher energy density. In addition, selection of a counteranion is not limited to those that have high

20 electrochemical breakdown voltages. Virtually any anion capable of incorporation into the block copolymer of the invention is contemplated as within the scope of the invention.

Each of the ionically-conductive and anion-immobilizing blocks can be a mixture of components, that is, each block can be, for example, a random copolymer of different components so long as one block is sufficiently ionically conductive and so long as the material as a whole has sufficient dimensional stability at use temperatures. A block copolymer in which one

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block (or both blocks) is itself a copolymer such as a random copolymer can result in a non-crystalline block copolymer that, with a more regular sequence of the same components along the chain backbone, would be crystalline.

In one embodiment, it is desirable that the second block comprise a
5 random copolymer of a non-ionically conductive component and a component
to tether the anion (anion-immobilizing component). The degree of anionic
density, e.g., the salt loading of the electrolyte, is determined by the relative
composition of the second block. The higher the immobilized anion portion of
the block, the greater the cation loading in the electrolyte (since charge
10 neutrality must be maintained in the electrolyte).

The ratio of the second block to the ionically conductive block is selected to maximize the volume of the ionically conductive domains since the greater the volume fraction of the conductive domains, the higher the conductivity of the electrolyte. This need to provide conductive domains is
15 counterbalanced with the need to provide a second phase in an amount that can microphase separate to form a block copolymer morphology with dimensional stability. The ratio of the volume fraction of the ionically conductive domains to second block domains is desirably in the range of 0.5 to 0.85, and more preferably in the range of 0.65 to 0.85.

20 In its phase separated state, the polymer electrolyte of the invention contains ionically conductive domains which interact preferentially with the mobile cation, e.g., Li⁺, Na⁺, etc. Because there are many sites within the ionically conductive block capable of coordination and/or interaction with the mobile cation, it is possible for the cation to move freely from site to site within
25 the domain. Where the domains form continuous pathways through the electrolyte, it is possible for the mobile cation to move readily between the cathode and the anode, as is required for battery operation. The affinity of the

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mobile cation for the ionically conductive domains is a function of the number of cation solvating units (typically ethylene oxide or EO) present in the ionically conducting block. The minimum level is not known; however, significant conductivities have been observed with Li:EO ratios in the range of 5 about 1:9 to about 1:27. See, Figures 3 through 6 and the Examples herein below.

The continuous ionically-conductive domains define a continuous pathway when the block copolymer is ordered either due to defects in the association of the polymer chains to form the domains or the inherent 10 morphology of the microphase separated block copolymer. Thus, for example, the polymer electrolyte blocks may phase separate locally to form cylindrical or spherical ordered phases in which the ionically-conductive domain forms the continuous matrix phase. Bicontinuous periodic block copolymer morphologies such as a double gyroid arrangement, double diamond 15 configuration or the like can be used. Alternatively, the polymer electrolyte may phase separate into a lamellar (layered) structure. Such a layered structure may or may not form a phase which provides a continuous pathway through the electrolyte between the cathode and the anode (dependent upon the orientation of the lamella). In such cases a continuous phase may nonetheless be formed 20 by introduction of morphological defects to provide a topologically connected continuous ionically-conductive pathway. These structures are known to those of ordinary skill in the art.

Compositions for forming the microphase separated block copolymer electrolyte may be selected so that the ionically-conductive blocks form 25 continuous, ionically-conducting domains upon microphase separation. In one preferred embodiment, both blocks are amorphous, rheologically in a rubbery or melt state, i.e., well above the glass transition temperature T_g , and non-

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crystalline at use temperatures. Components used in the block copolymer form the ordered structure in a manner such that global dimensional stability of the copolymer exists in the absence of crosslinking, crystallization or glassification, while chain mobility that provides ionic conductivity remains 5 high.

The molecular weight of the block copolymer chains of the ionically conductive polymer should be selected to be high enough so that a segregated morphology of use temperatures is formed. Specifically, the molecular weight is at least about 50,000 Daltons, preferably at least about 75,000 Daltons, more 10 preferably at least about 100,000 Daltons, more preferably at least about 150,000 Daltons, and most preferably at least about 200,000 Daltons.

In preferred embodiments, polymer electrolyte **30** is a block copolymer composition which is not chemically crosslinked, non-crystalline and non-glassy over the typical anticipated use temperature ranges. The 15 temperature range may be from at least about 20°C to about 70°C and more preferably in the range of about 0°C to 80°C and most preferably in the range of about -25°C to 100°C. The copolymer blocks further may be selected as described herein below to provide copolymer blocks which are non-glassy and amorphous throughout a temperature range of use, and to provide a continuous 20 ionically conductive pathway through the electrolyte upon microphase separation.

It may also be desirable to introduce a portion of the soluble mobile cation as an electrolyte salt of the prior art. Electrolyte salts suitable for use in the electrolyte of the invention include, but are not limited to, inorganic salts 25 containing a cation selected from the Group Ia and IIA elements associate with anions such as ClO_4^- , SCN^- , BF_4^- , AsF_6^- , CF_3SO_3^- (triflate), Br^- , I^- , PF_6^- , $(\text{CF}_3\text{SO}_3)_2\text{N}_2^+$ (bis(trifluoromethanesulfonyl)imide), $(\text{CF}_3\text{SO}_3)_3\text{C}^-$,

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(bis(trifluoromethanesulfonyl) carbide), CF_3CO_2^- , and the like. The choice of cation is governed by the faradaic process of the electrodes, e.g., Li^+ for a lithium battery, Na^+ for a sodium battery, etc. Lithium ion is a preferred cation.

In other preferred embodiments, it may be desired to add a

- 5 conductive liquid to the solid polymer electrolyte. The conductive liquid desirably increases the conductivity of the mobile cationic species in the ionically conductive block without substantial deleterious effect to the mechanical integrity or dimensional stability of the block copolymer. Suitable liquid conductors include, but are not limited to, oligomeric PEO or
- 10 polyethylene glycol dimethyl ether. The lower molecular weight oligomer segregates preferentially into the ionically-conductive domain of the block copolymer, thereby improving the conductivity of the copolymer.

A self-doped block polymer electrolyte may be prepared as follows.

- Anionic synthesis is well-suited for the preparation of block copolymeric electrolytes with well-defined molecular weights and compositions. For example, the polymerization of methoxypolyethyleneglycol methacrylate (POEM; available from Polysciences) can be initiated anionically to yield to a polymer with a T_g of -60°C . Diblock copolymers of POEM and lauryl methacrylate can be prepared by sequential addition of lauryl methacrylate to
- 15 living POEM homopolymer. Alternatively, block copolymers can be prepared by reaction of end-functionalized homopolymers, by addition polymerization of one block component onto an end-functionalized homopolymer, or by sequential addition of two monospecies in a living free radical polymerization, or atom transfer radical polymerization.
 - 20

- 25 In instances where a neutral precursor of the anionic species has been used in synthesis, the anionic species may be generated after formation of the block copolymer or after polymerization is complete.

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The microphase separated block copolymer can be prepared by solvent casting, solvent evaporation, melt processing, and the like. Upon reduction of temperature, precipitation from solution or evaporation of the solvent, an ordered microstructure having immobilized anions is formed that

5 includes continuous regions of ionically conductive polymer and secondary regions. Such techniques for preparation of microphase separated block copolymers are well known to those of ordinary skill in the art. The interested reader is directed to WO 98/16960 for further information of the preparation and screening of block copolymers with suitable glassy and amorphous properties and desired dimensional stability. The reference is incorporated

10 herein by reference.

The invention is further illustrated in the following examples which are not intended to be limiting of the invention, the full scope of which is set forth in the claims which follow.

15 Example 1. The following example describes the preparation of a self-doped block copolymer electrolyte in which the anion is carboxylate (CO_2^-) and is immobilized in the second phase and the mobile cationic species is lithium. The sample demonstrated acceptable conductivities, particularly at elevated temperatures which established that lithium cations were localized in

20 the ionically conductive domains, even though the anions were microphase separated into the second block domains.

Synthesis. Tetrahydrofuran (THF) was used as solvent for both the anionic polymerization of the block copolymer and the subsequent solvent casting of the self-doped block copolymer films. THF was rigorously purified

25 by refluxing over sodium/benzophenone for 48 hours, and then distilled into sealable Schlenk apparatus immediately before use. Methacrylate monomers were first stirred and vacuum distilled over CaH_2 , then degassed and distilled

again over 25% trioctylaluminum/hexane solution.

The block copolymer was polymerized at -78°C by the sequential addition of a mixture of purified lauryl methacrylate (LMA, Aldrich) and *tert*-butyl methacrylate (Polysciences), followed by oligooxyethylene methacrylate (OEM, Aldrich, 9 ethylene oxides per repeat unit), to diphenylmethyl potassium initiator in 350 mL THF. Upon termination of the reaction with degassed methanol, the copolymer solution was concentrated on a rotary evaporator, precipitated in petroleum ether and finally centrifuged to isolate the colorless polymer. The polymer included a 1:1:1 molar ratio of the three monomer components and is referred herein as a 1:1:1 SDBCE.

On the basis of molar content of *tert*-butyl methacrylate in the block copolymer, a slight stoichiometric excess of *p*-toluensulfonic acid was added to a 2 vol% block copolymer solution in toluene in order to hydrolyze the methacrylate functional group. The solution was refluxed at 90°C for 5 hours, during which time the *tert*-butyl ligand was replaced by a hydroxy group, thereby forming a methacrylic acid (MAA) repeat unit. Following hydrolysis, the block copolymer was precipitated out of solution, whereupon it was separated by filtration and dried in a vacuum oven for 48 hours at 60°C.

The final step in the preparation of the self-doped block copolymer electrolyte involved lithiation of the methacrylic acid groups by neutralization with a suitable base, lithium methoxide. This was accomplished by first drying the hydrolyzed polymer by repeated azeotropic distillation and drying over benzene. Toluene was then transferred into the reactor by cannula to form a 5 wt% solution. Finally, a stoichiometric amount of lithium methoxide was then injected into a small amount (2-3 mL) of polymer solution. Because the presence of methanol induces back-reaction of the lithiated carboxylate, a vacuum line was used to continuously remove methanol as it evolved in the

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reaction. As the methanol was removed, the block copolymer electrolyte precipitated from solution and was collected by filtration.

T_g determination. To determine the glass transition temperature of the product self-doped block copolymer, differential scanning calorimetry was 5 performed using a Perkin-Elmer DSC7S. In all tests, a scan rate of 10°C/min was used over the temperature range of -60°C to 180°C. The instrument was calibrated at this scan rate using cyclohexane and H₂O standards. Melting and glass transitions were marked at the onset of deviation from the heating trace. The block copolymer prepared as described as above exhibited a melting 10 temperature (T_m) at a temperature of about 85°C, which indicated some crystallinity in the sample at temperatures below 85°C. The crystalline transition was reduced to about room temperature upon addition of about 35 wt% PEGDME to the block copolymer.

Electrical measurements. The electrical conductivity of the block 15 copolymer prepared above was measured by impedance spectroscopy. Specimens were initially dried in a vacuum oven at 70°C for 24 hours. The materials were then transferred to an inert atmosphere, solvated in rigorously anhydrous methanol and solution cast in a polypropylene die. The polymer/salt complex was then annealed *in vacuo* for 48 hours at 70 °C. Under dry nitrogen 20 flow, the self-doped block copolymer was loaded between a pair of blocking electrodes made of type 316 stainless steel, pressed to a thickness of 250 µm and annealed *in situ* at 70°C for 24 hours. Over the temperature interval spanning -20°C to 90°C, conductivity was measured by impedance spectroscopy using a Solartron 1260 Impedance Gain/Phase Analyzer 25 (Solartron Instruments, Allentown, PA). Results are shown for the self-doped block copolymer as prepared (Figure 3) and for the same block copolymer including 35 wt% PEGDME.

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Figure 3 demonstrates that the self-doped block copolymer of the invention possessed acceptable conductivity, particularly at elevated temperatures. This establishes that lithium cations are localized in the ionically conductive domains, even though the anions are microphase separated into the 5 second block domains.

Figure 4 shows the effect of introduction of additional conductive liquids on the conductivity of the electrolyte of the invention. The PEGDME is presumed to localize in the ionically conductive block domains. This has the effect of increasing the conductivity of the polymer without degrading the 10 mechanical properties (the material does not flow). The increased conductivity may be due to the reduced crystallinity of the polymer at room temperature or the increased number of EO units in the ionically conductive domains, or both.

Example 2. This example describes the synthesis of an LMA-r-lithium methacrylate (LiMA)-b-POEM block copolymer electrolyte having a 15 3:1:2 molar ratio of LMA:LiMA:POEM. The different monomer proportions provided a block copolymer having an EO:Li ratio approaching 20, which is the nominal ratio for conventional PEO electrolytes.

Synthesis. The precursor of materials used in this study was first prepared using anionic methods. These methods were similar to those used in 20 Example 1.

Following the isolation of the polymer by reverse precipitation from ethyl acetate and hydrolysis of the methacrylic acid *t*-butyl functional group, the material was precipitated from solution, filtered and dried. The material then was lithiated in toluene solution by dropwise addition of lithium 25 methoxide (in 1 M methanol solution) under strictly airless and moisture-free conditions. A two-fold molar excess of lithium methoxide was used, and methanol was continuously removed to minimize back reaction. The excess

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- lithium methoxide was removed by precipitation in ethyl acetate, which solvates the block copolymer but not lithium methoxide. To verify that the carboxylic acid group was effectively converted to the lithium carboxylate, FTIR was run on the copolymer before and after lithiation. The 3:1:2
- 5 LMA:lithiated methacrylate (LiMA):POEM block copolymer has an EO:Li ratio of 16 when fully lithiated.

A portion of the block copolymer was blended with the conductive liquid, PEGDME, to reduce the glass transition temperature and/or increase conductivity. A 23 wt% PEGDME-blended polymer had an EO:Li ratio of

10 27:1.

Electrochemical testing. Temperature dependent electrical conductivity measurements are shown in Figure 5. Results for *P(LMA-*r*-LiMA)-*b*-POEM* 3:1:2 with PEGDME added to achieve a EO:Li⁺ ratio of 27:1 revealed conductivities between 10⁻⁷ and 10⁻⁶ S/cm. This

15 represents an improvement in conductivity over the 1:1:1 *P(LMA-*r*-LiMA)-*b*-POEM/PEGDME* sample. The unlithiated copolymer is shown for comparison and was found to conduct over an order of magnitude lower. The results in this latter case are consistent with protonic conductivities reported for other similar polyelectrolytes and suggest that the conductivity of the self

20 doped block copolymer electrolytes of the invention operate by a different mechanism other than proton conduction.

To confirm that the conductivity of the lithiated block copolymer was due to lithium ion conduction, charge-discharge testing was performed on a Li/SDBCE/Al battery coin cell, illustrated in Figure 6. To prepare this cell,

25 the electrolyte was solution cast onto an aluminum foil electrode from anhydrous ethyl acetate, rigorously dried, and pressed to a thickness of about 150 mm. An aluminum electrode functions by alloying and dealloying with

-25-

lithium according to the following half-reactions:

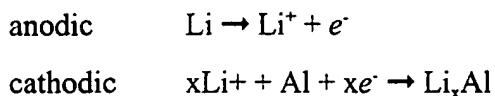


Figure 7 shows the first charge-discharge cycle of the test cell at a
5 constant current density of $0.5 \mu\text{A}/\text{cm}^2$. The double plateau at 0.75 and 0 V vs.
Li/Li⁺ is consistent with previous studies using this electrode, and confirms that
lithium ions are being effectively transported to and from the aluminum. The
discharge plateau was wider than the charge plateau, however, indicating some
degree of irreversible capacity.

10 To determine whether this material is an effective single ion
conductor The lithium transference number of the 3:1:2 block copolymer
electrolyte with PEGDME was measured by step potential chronoamperometry.
This was accomplished using a symmetric Li/SDBCE/Li cell configuration,
which was constructed similarly to the cell in Figure 6. In this experiment, a
15 55 mV potential step was applied and current was recorded as a function of
time. Because only current due to lithium ion transport is sustainable in this
cell at long times, the transference number can be derived from the ratio i_s/i_o ,
where i_∞ is the limiting current plateau and i_o is the instantaneous current at $t=0$.
The current profile shown in Figure 8 was obtained, corresponding to
20 $t_{\text{Li}^+}=0.88$. Some limited anion mobility was observed, likely the result of small
ion impurities in the electrolyte. This might be remedied by better purification
of the electrolyte.

Figure 10 shows the results of an experiment to determine the limits of
electrochemical stability of the SDBCE. Linear sweep voltammetry was performed at
25 room temperature on the material in a Li/SDBCE/Pt cell with lithium metal also

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serving as reference electrode. Evidence of the high degree of electrochemical stability of this material is demonstrated by the negligibly small currents measured at extreme potentials, i.e., 0.938×10^{-6} A/cm² at 6 V.

Example 3. A conventional block copolymer electrolyte (BCE) was prepared
5 and its properties were compared with the SDBCE of the invention.

By way of comparison to the properties of the SDBCE, Figure 9 shows the transference number measurement of a block copolymer electrolyte (BCE) consisting of PBMA-b-POEM block copolymer into which lithium triflate has been dissolved at a ratio of EO:Li⁺ of 100:1, giving a room temperature conductivity of $\sigma \approx 2 \cdot 10^{-7}$ S/cm². The experiment was performed in a manner similar to that described above in connection with Figure 8. The transference number of lithium in the salt-doped BCE was measured to be 0.48.
10

Figure 11 shows the results of an experiment to determine the limits of electrochemical stability of the lithium triflate-doped BCE. Linear sweep
15 voltammetry was performed at room temperature on the material in a Li/BCE/Pt cell with lithium metal also serving as reference electrode. There is evidence of a shallow wave at 4.2V versus lithium which can be attributed to breakdown of the lithium triflate salt. No such wave was observed in Figure 10 proving the superior
electrochemical stability of the self-doped material which is the substance of the
20 instant invention.

What is claimed is:

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1. A polymer electrolyte, comprising:
 - a microphase separated block copolymer comprising at least one ionically conductive block and at least one second block that is immiscible in the ionically conductive block;
- 5 an anion immobilized on the polymer electrolyte,
wherein the ionically conductive block provides a continuous ionically conductive pathway through the electrolyte; and
a cationic species.
2. The polymer electrolyte of claim 1, wherein the ionically conductive block and the second block are non-glassy and amorphous throughout a temperature range of use.
- 10 3. The polymer electrolyte of claim 1, wherein the anion is immobilized in the ionically conductive block.
- 15 4. The polymer electrolyte of claim 1, wherein the anion is immobilized in the second block.
5. The polymer electrolyte of claim 1, wherein the mobile cationic species is substantially confined in the ionically conductive block.
- 20 6. The polymer electrolyte of claim 1, wherein the cationic species is selected from the group consisting of lithium, sodium, potassium, magnesium and calcium.
7. The polymer electrolyte of claim 1, wherein the cationic species

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comprises lithium.

8. The polymer electrolyte of claim 1, wherein the anion exhibits delocalized charge density.

5 9. The polymer electrolyte of claim 1, wherein the ionically conductive block comprises a polymer backbone having polyalkylene glycol side chains.

10. The polymer electrolyte of claim 1, wherein the ionically conductive block is selected from the group consisting of methoxypolyethylene glycol methacrylate, methoxypolyethylene glycol acrylate and other acrylate and methacrylate polymers modified to include polyethylene oxide and polyethylene side chains, polybutadiene or polyisoprene modified so as to include polyethylene oxide or polyethylene glycol side chains, polystyrene 15 similarly modified through the phenyl group to include polyethylene oxide or polyethylene glycol side groups and random or block copolymer derivatives thereof.

11. The polymer electrolyte of claim 1 or 10, wherein the polyalkylene oxide or polyalkylene glycol side chains are of a length less than about 20 oxide units.

12. The polymer electrolyte of claim 1, wherein the continuous ionically conductive pathway of the ionically conductive block is due to the morphology of microphase separation.

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13. The polymer electrolyte of claim 1, wherein the continuous ionically conductive pathway of the ionically conductive block is due to defects in microphase separation.

5 14. The polymer electrolyte of claim 1, wherein the volume fraction of the ionically conductive block is in the range of about 0.50 to about 0.85.

10 15. The polymer electrolyte of claim 1, wherein the second polymer block comprises a copolymer comprised of a first monomer selected for its ability to microphase separate from the ionically conductive block and a second monomer comprising an anion or neutral precursor thereof.

16. The polymer electrolyte of claim 1, wherein the ionically conductive block comprises a copolymer comprised of a first ionically conductive monomer and a second monomer comprising an anion or neutral precursor thereof.

15 17. The polymer of claim 15 or 16, wherein the copolymer is a random copolymer.

20 18. The polymer electrolyte of claim 1, wherein the amount of immobilized anion is selected to provide a specified anionic concentration in the electrolyte.

19. The polymer electrolyte of claim 1, wherein the second block is selected from the group consisting of polydecyl methacrylate, polylauryl

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methacrylate, polyalkylacrylates and methacrylates, polydimethyl siloxane, polybutadiene, polyisoprene, poly ethylethylene and polyethylene propylene and copolymers thereof, and modified polystyrenes with flexible side chains of alkyl fluorocarbon and siloxane side chains attached through the phenyl groups
5 and random or block copolymer derivatives thereof.

20. The polymer electrolyte of claim 15 or 16, wherein the second anion-containing polymer comprises a hydrocarbon backbone having anionic side chains or neutral precursor thereof.

21. The polymer electrolyte of claim 20, wherein the anion is
10 selected from the group consisting of carboxylates and carboxylic acid and ester derivatives thereof, sulfonates, imides, phosphates and iodinates.

22. The polymer electrolyte of claim 1, wherein the anion is selected from the group consisting of carboxylates and carboxylic acid and ester derivatives thereof, sulfonates, imides, phosphates and iodinates.

15 23. The polymer electrolyte of claim 1, wherein the molecular weight of the block copolymer chains of the ionically-conductive polymer is at least 50,000 Daltons.

24. The polymer electrolyte of claim 1, wherein the molecular weight of the block copolymer chains of the ionically-conductive polymer is at least
20 75,000 Daltons.

25. The polymer electrolyte of claim 1, wherein the molecular weight

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of the block copolymer chains of the ionically-conductive polymer is at least 100,000 Daltons.

26. The polymer electrolyte of claim 1, wherein the molecular weight of the block copolymer chains of the ionically-conductive polymer is at least 5 200,000 Daltons.

27. The polymer electrolyte of claim 1, further comprising a conductive liquid.

28. The polymer electrolyte of claim 25, wherein the conductive 10 liquid is selected from the group consisting of oligomeric PEO and polyethylene glycol dimethyl ether.

29. The polymer electrolyte of claim 1, further comprising an electrolyte salt separate from the polymer electrolyte.

30. A polymer electrolyte comprising:
15 a microphase separated block copolymer wherein a mobile cationic species is confined to a domain separate from an immobilized anionic species.

31. A block copolymer, comprising:
at least one ionically conductive block;
at least one second block that is immiscible in the ionically conductive 20 block; and
an anion immobilized on the polymer electrolyte.

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32. The block copolymer of claim 31, wherein the anion is immobilized on the second block.

33. The block copolymer of claim 31, where the anion is immobilized on the ionic conductive block.

5 34. The block copolymer of claim 32, wherein the copolymer blocks are non-glassy and amorphous in a temperature in the range of 20°C to 100°C.

35. A battery, comprising:
(i) an electrolyte comprising:
a microphase separated block copolymer comprising at least one
10 ionically conductive block and at least one second block that is immiscible in
the ionically conductive block;
an anion immobilized on the polymer electrolyte,
wherein the ionically conductive block provides continuous ionically
conductive pathways through the electrolyte; and
15 a cationic species;
(ii) a negative electrode in electrical contact with the electrolyte;
(iii) a positive electrode in electrical contact with the electrolyte and
separated from the negative electrode; and
(iv) an external circuit in electronic communication with the negative
20 and positive electrodes.

36. A cathode, comprising:
an ionically conducting binder comprised of a microphase separated
block copolymer comprising at least one ionically conductive block and at least

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- one second block that is immiscible in the ionically conductive block;
an anion immobilized on the polymer electrolyte,
wherein the ionically conductive lock provides continuous ionically
conductive pathways through the electrolyte; and
5 a faradaically active cationic species.

37. The cathode of claim 36, wherein the cation species is selected
from the group consisting of lithium, sodium, potassium, magnesium and
calcium.

38. An anode, comprising:
10 an ionically conducting binder comprised of a microphase separated
block copolymer comprising at least one ionically conductive block and at least
one second block that is immiscible in the ionically conductive block;
an anion immobilized on the polymer electrolyte,
wherein the ionically conductive block provides continuous ionically
15 conductive pathways through the electrolyte; and
a faradaically active cationic species.

39. The polymer electrolyte of claim 7, wherein the electrolyte has a
lithium ion transport value, τ_{Li+} , of greater than 0.5.

40. The polymer electrolyte of claim 7, wherein the electrolyte has a
20 lithium ion transport value, τ_{Li+} , in the range of about 0.8 to 1.0.

41. The polymer electrolyte of claim 9, wherein the electrolyte
comprises a lithium ion cationic species, and a ratio of alkylene oxide moiety to
lithium ion is in the range of 9:1 to 16:1.

-34-

42. The polymer electrolyte of claim 40, wherein addition of a conductive liquid results in a ratio of alkylene oxide moiety to lithium ion in the range of 15:1 to 30:1.

5

Prior Art

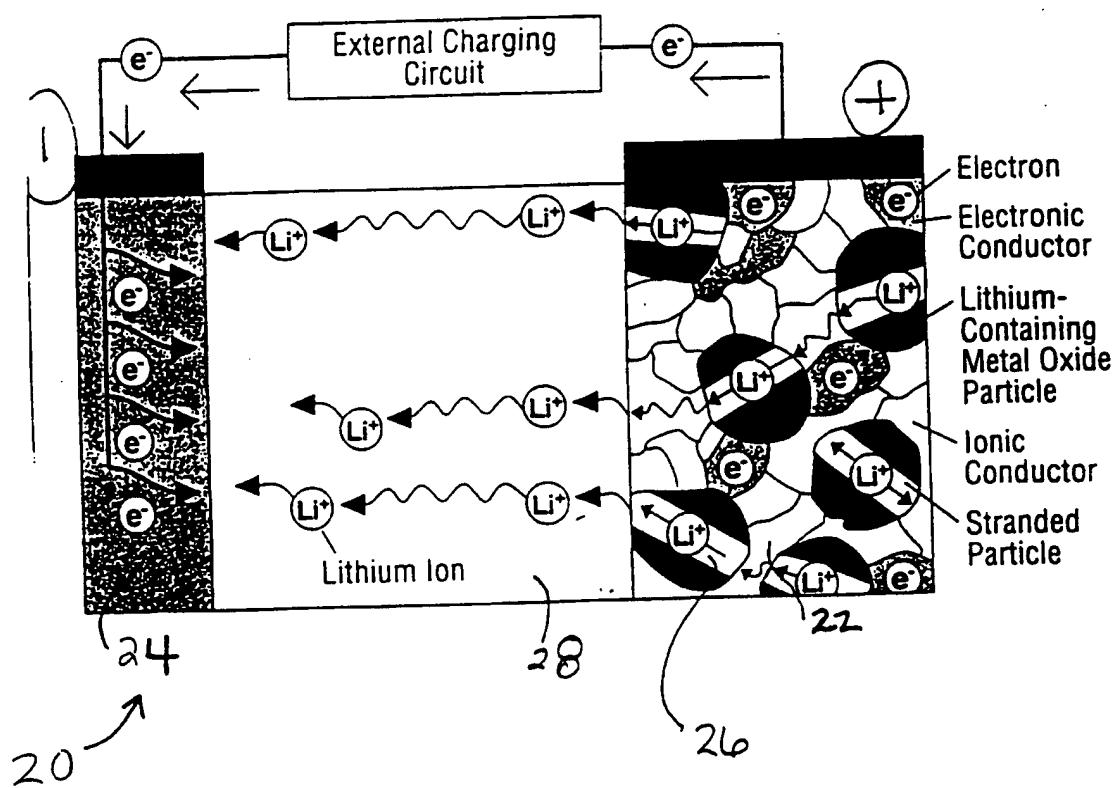


Figure 1

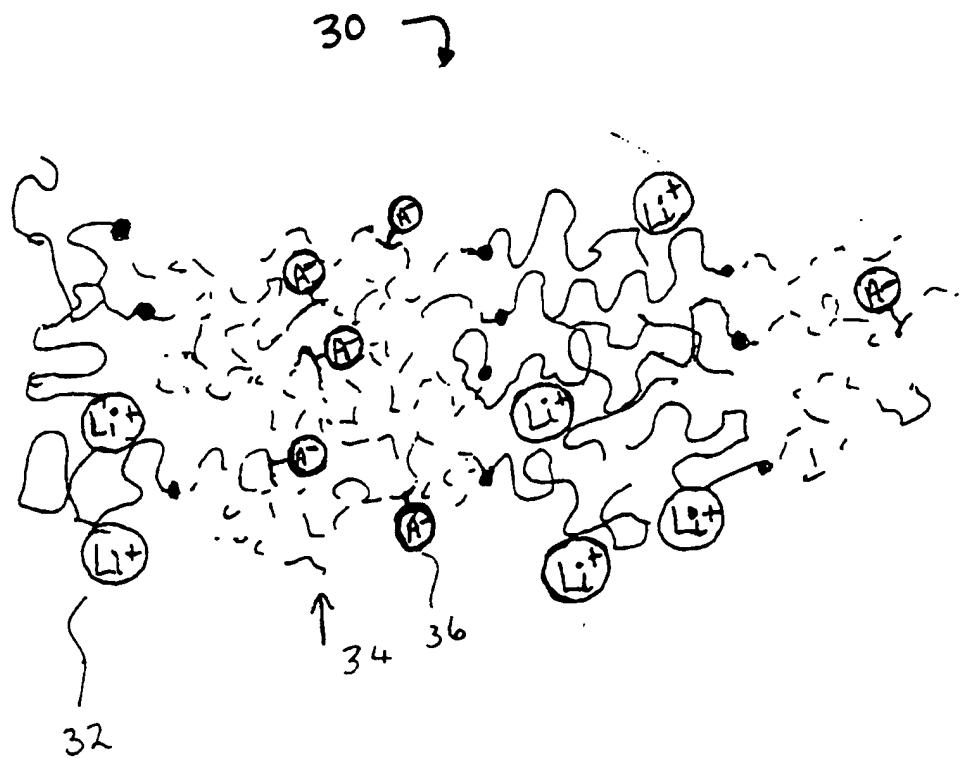


Figure 2

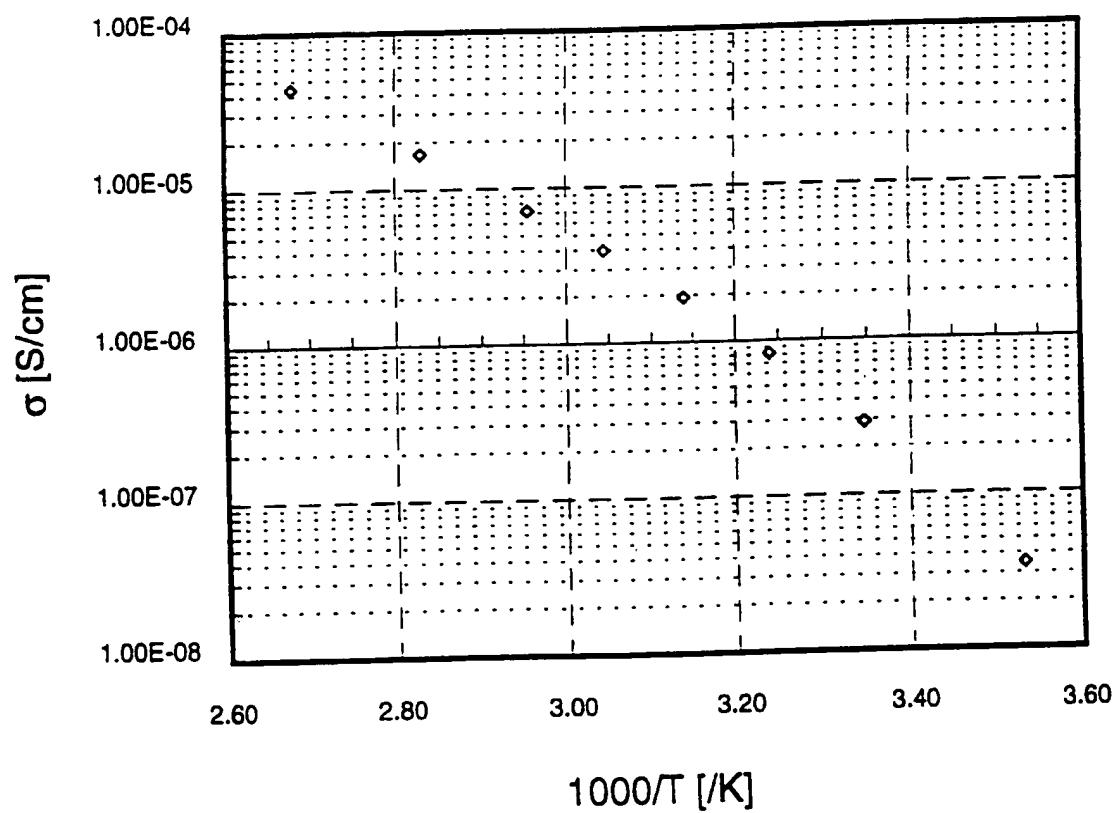
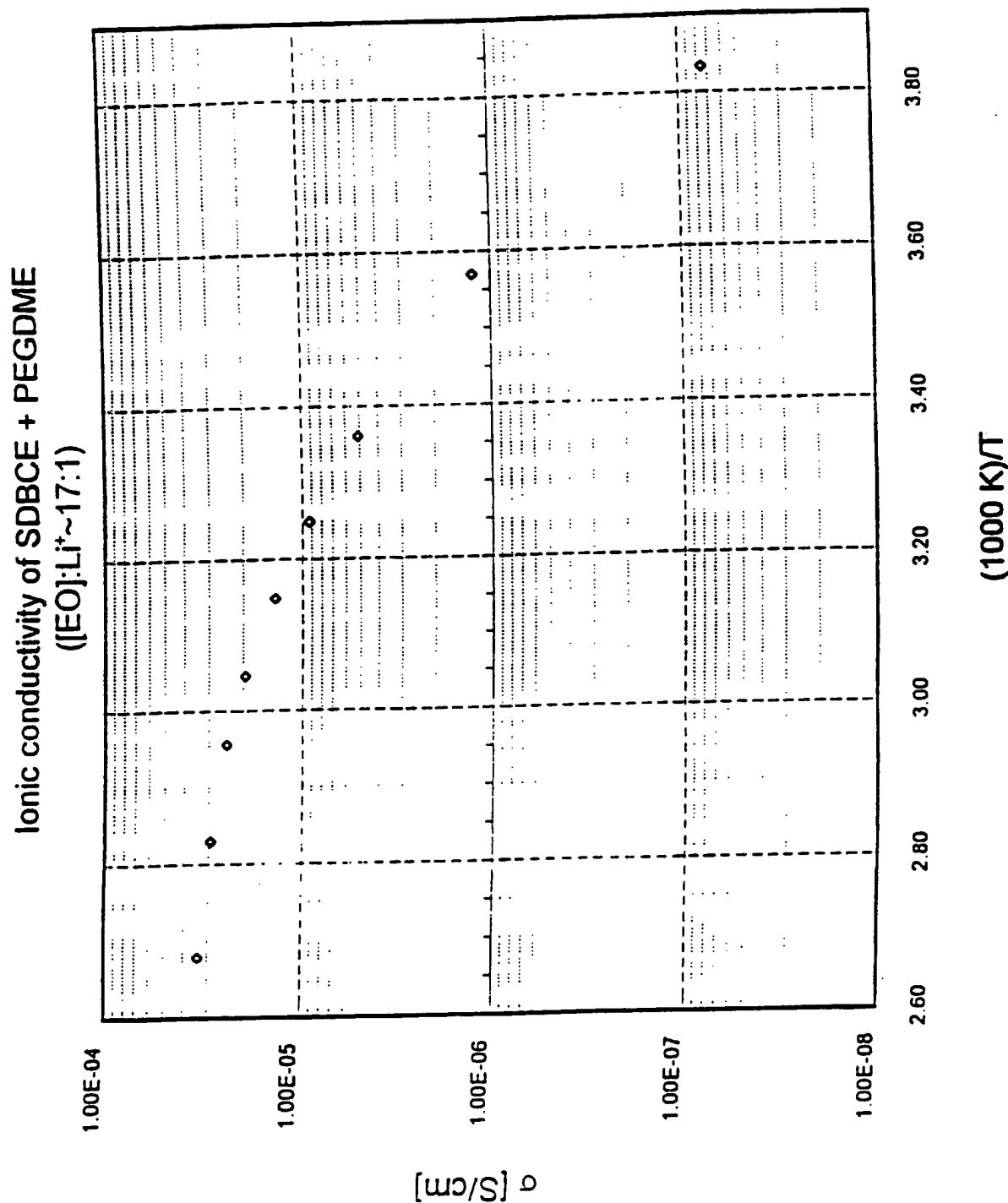
Ionic conductivity of SDBCE ([EO]:Li⁺~9:1)

Figure 3



13 July 1999

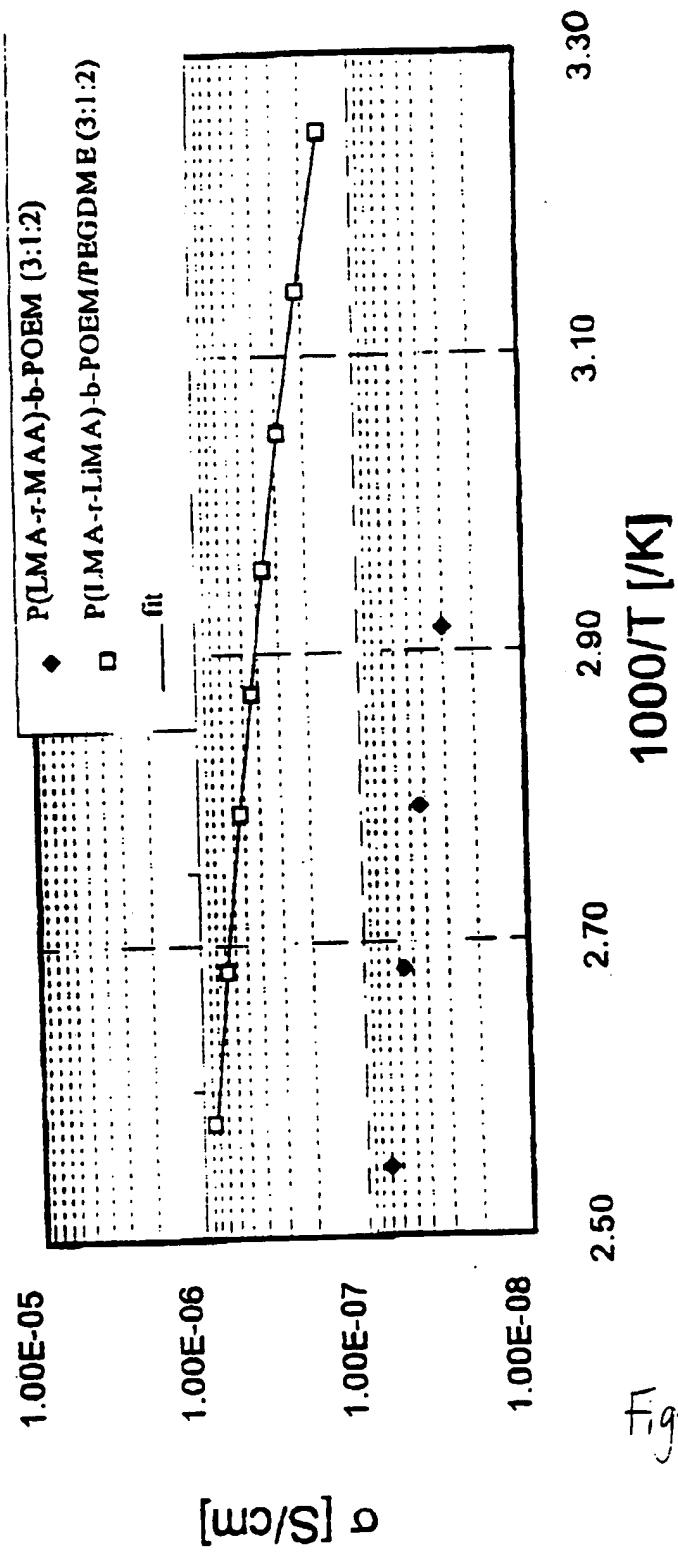
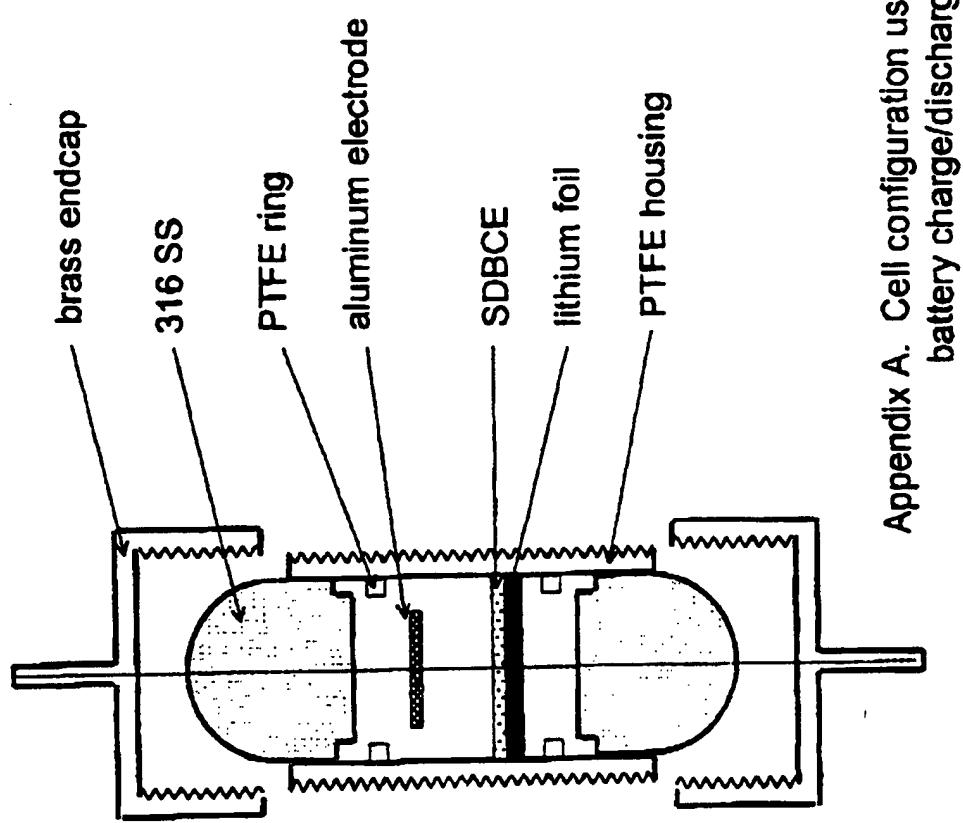


Figure 1. Electrical conductivity of the SDBCE (3:1:2) precursor and SDBCE (3:1:2) after lithiation.

Figure 5



Appendix A. Cell configuration used in battery charge/discharge testing.

Figure 6

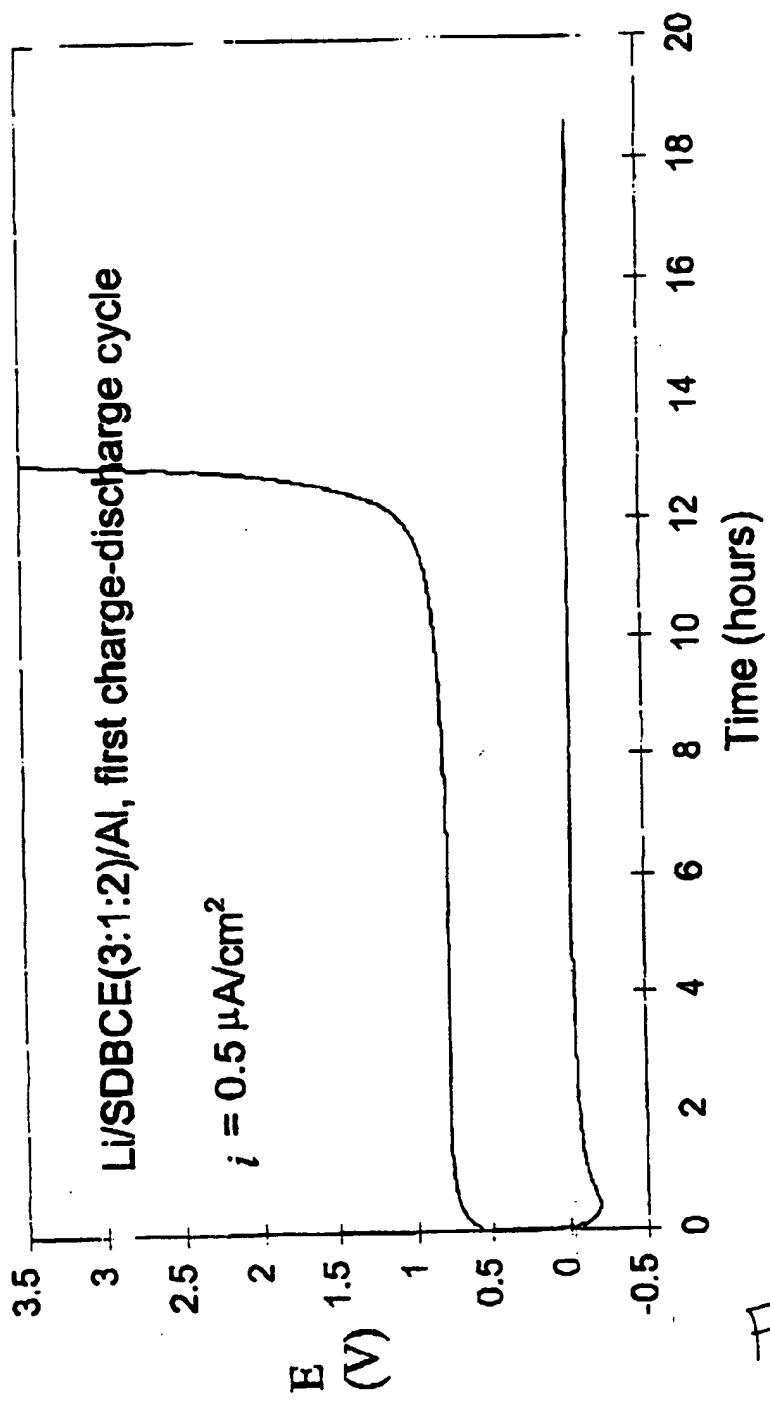


Figure 7

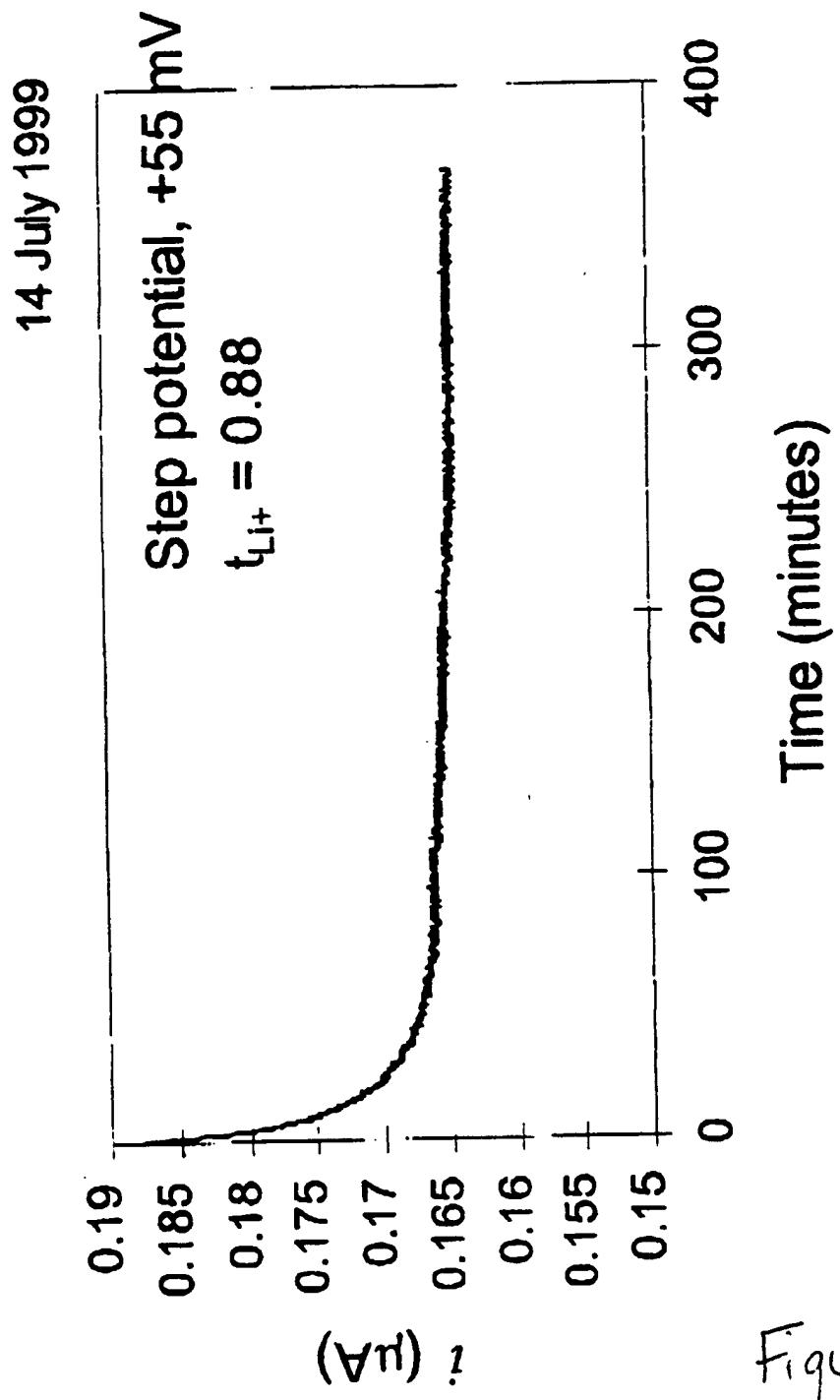


Figure 8

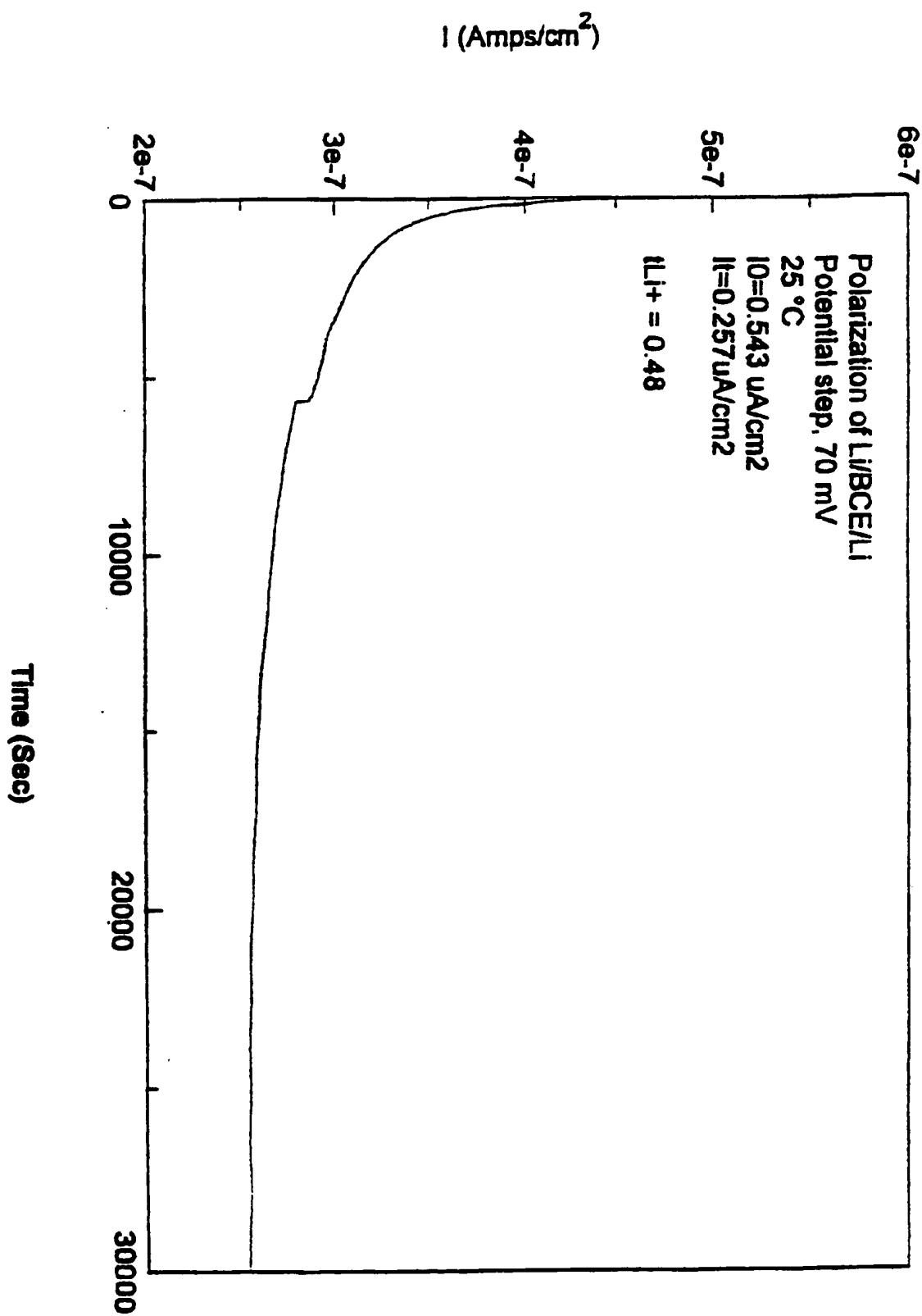
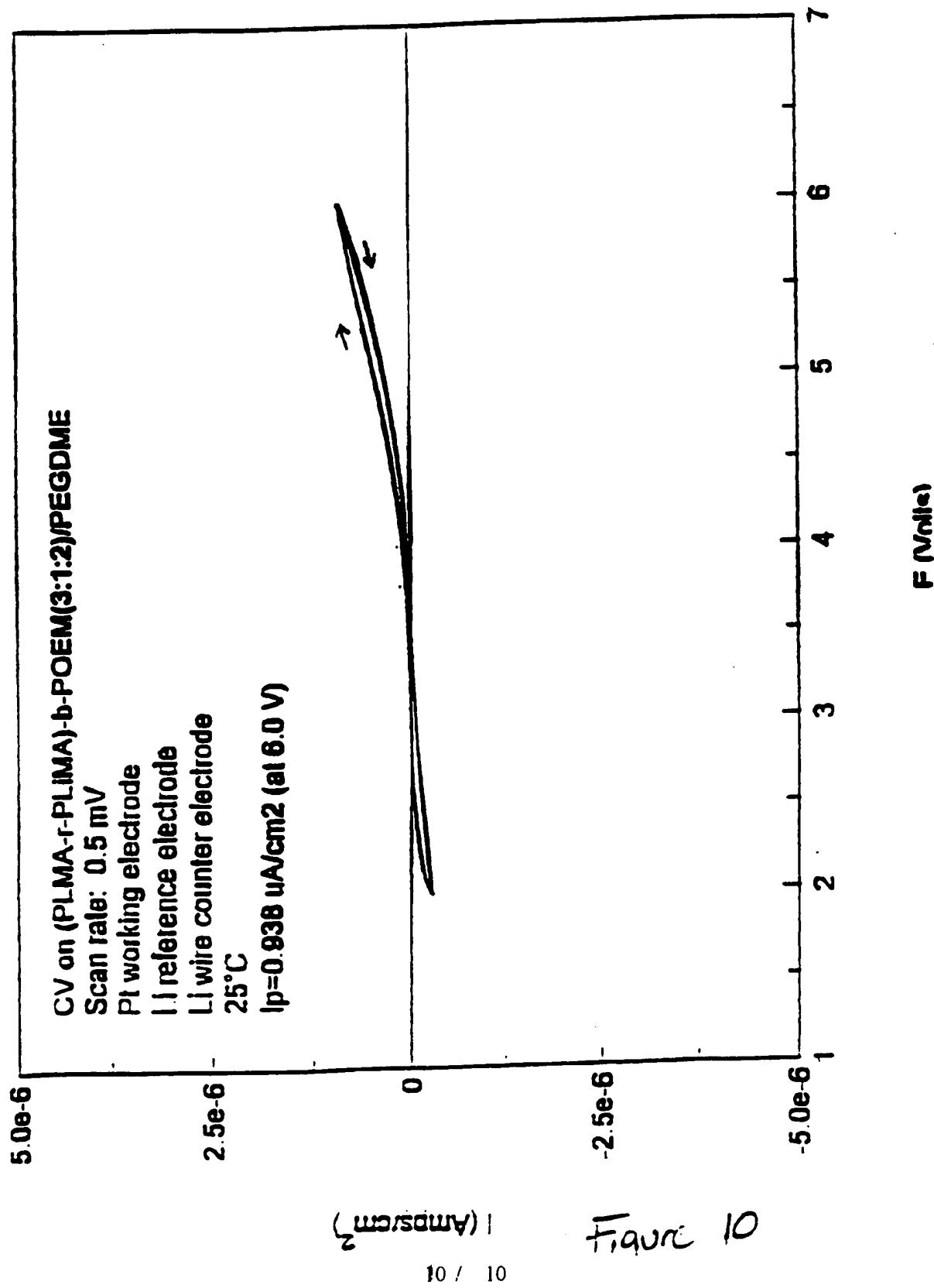


Figure 9



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/16760

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :H01M 6/18, 6/24, 10/08

US CL : 429/ 309, 317, 188

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 429/ 309, 317, 188

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WEST 1.2 (U.S., EPO, JPO), Derwent, NPL

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,102,751 A (NARANG et al) 07 April 1992.	
X	US 5,240,791 A (IZUTI et al) 31 August 1993, col 2, 3, claims 1-12.	1-8, 15-17, 27-34
—		—
Y		9-14, 18-26
X	US 5,356,553 A (KONO et al) 18 October 1994, col. 2-4, claims 1-3.	1-8, 15-17, 27-34
—		—
Y		9-14, 18-26
Y	US 5,419,984 A (CHALONER-GILL et al.) 30 May 1995, col. 3-6.	1-8, 27-34

 Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"		document defining the general state of the art which is not considered to be of particular relevance
"B"		earlier document published on or after the international filing date
"L"		document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
"O"		document referring to an oral disclosure, use, exhibition or other means
"P"		document published prior to the international filing date but later than the priority date claimed
"X"		document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"Y"		document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"A"		document member of the same patent family

Date of the actual completion of the international search
14 OCTOBER 1999

Date of mailing of the international search report

04 NOV 1999

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INTERNATIONAL SEARCH REPORT

International application No.
PCT/US99/16760

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,548,055 A (NARANG et al.) 20 August 1996, col. 4-9, claims 17-20.	1-8, 15-17, 27-34
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Y		9-14, 18-26
X	US 5,609,795 A (MATSUMOTO et al.) 11 March 1997, col. 4-6 claims 1-24.	1-8, 15-17, 27-34
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Y		9-14, 18-26
X	US 5,648,186 A (DAROUX et al.) 15 July 1997, col. 2, lines 35- 65, col. 6-8, claims 1-31.	1-8, 15-17, 27-34
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Y		9-14, 18-26
X	US 5,667,913 A (CHEN et al.) 16 September 1997, col. 5-6, claims 1-10.	1-8
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Y		36-41
Y	US 5,731,104 A (VENTURA et al.) 24 March 1998, col. 2, claims 1-18.	1-34
X,P	US 5,789,106 A (ROSENMEIER et al.) 04 August 1998, col. 5-8, claims 1-14.	1-8, 15-17, 27-34
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Y,P		9-14, 18-26
X,P	US 5,863,454 A (CHEN et al.) 26 January 1999, claims 1-9	1-8, 15-17, 27-34
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Y,P		9-14, 18-26
X,P	US 5,917,693 A (KONO et al.) 29 June 1999, claim 1, col. 3-7.	1-8, 15-17, 27-34
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Y,P		9-14, 18-26